

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO. AUS919970761US2

In re Application of:

JAMES W. ARENDT, ET AL.

Serial No. UNKNOWN

Filed: JULY 10, 1998

For: **HIGHLY SCALABLE AND
HIGHLY AVAILABLE CLUSTER
SYSTEM MANAGEMENT SCHEME**

§ Examiner: UNKNOWN

§ Art Unit: UNKNOWN

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Please amend the above-identified application as follows:

CERTIFICATE OF MAILING BY "EXPRESS MAIL" UNDER 37 CFR § 1.10

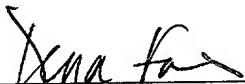
"Express Mail" mailing label number: ET657447168US

Date of Mailing: January 7, 2002

I hereby certify that the application/correspondence attached hereto is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Dena Faris

Typed name of person mailing paper or fee



Signature of person mailing paper or fee

PENDING CLAIMS

Claims 1-13, 21-22 and 26 have been cancelled, Claims 14 and 23 have been amended and new Claims 27-31 have been added as follows:

1. (Deleted)

2. (Deleted)

3. (Deleted)

4. (Deleted)

5. (Deleted)

6. (Deleted)

7. (Deleted)

8. (Deleted)

9. (Deleted)

10. (Deleted)

11. (Deleted)

12. (Deleted)

13. (Deleted)

1 14. (Amended) A method of partially replicating configuration information in a distributed
2 database, comprising:

3 defining a subset of data processing systems within a cluster system as a resource group,
4 wherein a data processing system may be a member of more than one resource group;
5 defining configuration data for the resource group; and
6 replicating the configuration data only on each data processing system within the resource
7 group.

1 15. (Unchanged) The method of claim 14, wherein the step of defining a subset of data
2 processing systems within a cluster as a resource group further comprises:

3 defining a highly available application and each data processing system designated to manage
4 the application as a resource group.

1 16. (Unchanged) The method of claim 15, wherein the step of defining a highly available
2 application and each data processing system managing the application as a resource group further
3 comprises:

4 defining a plurality of resource groups for each highly available application within the cluster,
5 each resource group including all data processing systems managing the corresponding application.

1 17. (Unchanged) The method of claim 14, wherein the step of defining configuration data
2 for the resource group further comprises:

3 instantiating a configuration object containing configuration and status information for a
4 highly available application corresponding to the resource group and having an associated list of data
5 processing systems within the resource group.

1 18. (Unchanged) The method of claim 17, wherein the step of replicating the configuration
2 data only on each data processing system within the resource group further comprises:

3 replicating the configuration object on each data processing system identified in an owners
4 list associated with the configuration object.

1 19. (Unchanged) The method of claim 17, wherein the step of replicating the configuration
2 data only on each data processing system within the resource group further comprises:
3 replicating, on a data processing system, a configuration object for each resource group
4 including the data processing system.

1 20. (Unchanged) The method of claim 14, further comprising:
2 maintaining, on a data processing system, a configuration object for each resource group
3 including the data processing system and no configuration objects for other resource groups.

21. (Deleted)

22. (Deleted)

1 23. (Amended) A computer program product in a computer usable medium, comprising:
2 instructions defining a subset of data processing systems within a network as a resource
3 group, wherein a data processing system may be a member of more than one resource group;
4 instructions defining configuration data for the resource group; and
5 instructions for replicating the configuration data only on each data processing system within
6 the resource group.

1 24. (Unchanged) The computer program product of claim 23, wherein the instructions
2 defining a highly available application and each data processing system managing the application
3 as a resource group further comprise:

4 instructions defining a plurality of resource groups for each highly available application
5 within the network, each resource group including all data processing systems managing the
6 corresponding application.

1 25. (Unchanged) The computer program product of claim 22, wherein the instructions
2 defining configuration data for the resource group further comprises:

3 instructions instantiating a configuration object containing configuration and status
4 information for a highly available application corresponding to the resource group and having an
5 associated list of data processing systems within the resource group.

26. (Deleted)

1 27. (Newly Added) A cluster multiprocessing system, comprising:
2 a plurality of data processing systems segregated into a plurality of resource groups, wherein
3 each of the plurality of data processing systems may be a member of more than one resource group;
4 a plurality of configuration objects each corresponding to a resource group within the
5 plurality of resource groups; and
6 wherein each of the plurality of configuration objects is replicated only on each data
7 processing system within the resource group associated with the configuration object.

1 28. (Newly Added) The cluster multiprocessor system of Claim 27, wherein a highly
2 available application and each data processing system designated to manage the application is
3 defined as a resource group.

1 29. (Newly Added) The cluster multiprocessor system of Claim 28, wherein a plurality of
2 resource groups is defined for each highly available application within the cluster, each resource
3 group including all data processing systems managing the corresponding application.

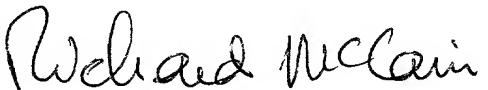
1 30. (Newly Added) The cluster multiprocessor system of Claim 27, wherein each of the
2 plurality of configuration objects contains configuration and status information for a highly available
3 application corresponding to the resource group and an associated owners list of data processing
4 systems within the resource group.

1 31. (Newly Added) The cluster multiprocessor system of Claim 30, wherein each of the
2 plurality of configuration objects are replicated on each data processing system identified in an
3 owners list associated with the configuration object.

REMARKS

Original Claims 1-13, 21-22 and 26 have been cancelled, Claims 14 and 23 have been amended and new Claims 27-31 have been added. The newly added Claims do not introduce any new matter. Attached hereto, captioned "MARKED-UP APPENDIX," is a marked-up version of the changes made to the claims by the current amendment. Applicants respectfully request a prompt consideration of the Application. Please charge the fee of \$740.00 to IBM Corporation Deposit Account No. 09-0447. No additional fee is believed to be required; however, in the event that any additional fees are required, please charge IBM Corporation Deposit Account No. 09-0447.

Respectfully submitted,



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ATTORNEY FOR APPLICANTS

MARKED-UP APPENDIX

In the Claims:

Please delete Claims 1-13, 21-22 and 26, amend Claims 14 and 23 and add new Claims 27-31 as follows:

1. (Deleted)

2. (Deleted)

3. (Deleted)

4. (Deleted)

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4 wherein a data processing system may be a member of more than one resource group;

5 defining configuration data for the resource group; and

6 replicating the configuration data only on each data processing system within the resource
7 group.

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22. (Deleted)

1 23. (Amended) A computer program product in a computer usable medium, comprising:
2 instructions defining a subset of data processing systems within a network as a resource
3 group, wherein a data processing system may be a member of more than one resource group;
4 instructions defining configuration data for the resource group; and
5 instructions for replicating the configuration data only on each data processing system within
6 the resource group.

26. (Deleted)

1 27. (Newly Added) A cluster multiprocessing system, comprising:
2 a plurality of data processing systems segregated into a plurality of resource groups, wherein
3 each of the plurality of data processing systems may be a member of more than one resource group;
4 a plurality of configuration objects each corresponding to a resource group within the
5 plurality of resource groups; and
6 wherein each of the plurality of configuration objects is replicated only on each data
7 processing system within the resource group associated with the configuration object.

1 28. (Newly Added) The cluster multiprocessor system of Claim 27, wherein a highly
2 available application and each data processing system designated to manage the application is
3 defined as a resource group.

1 29. (Newly Added) The cluster multiprocessor system of Claim 28, wherein a plurality of
2 resource groups is defined for each highly available application within the cluster, each resource
3 group including all data processing systems managing the corresponding application.

1 2 3 4 30. (Newly Added) The cluster multiprocessor system of Claim 27, wherein each of the
plurality of configuration objects contains configuration and status information for a highly available
application corresponding to an associated resource group and an associated owners list of data
processing systems within the associated resource group.

1 2 3 31. (Newly Added) The cluster multiprocessor system of Claim 30, wherein each of the
plurality of configuration objects are replicated on each data processing system identified in an
owners list associated with the configuration object.

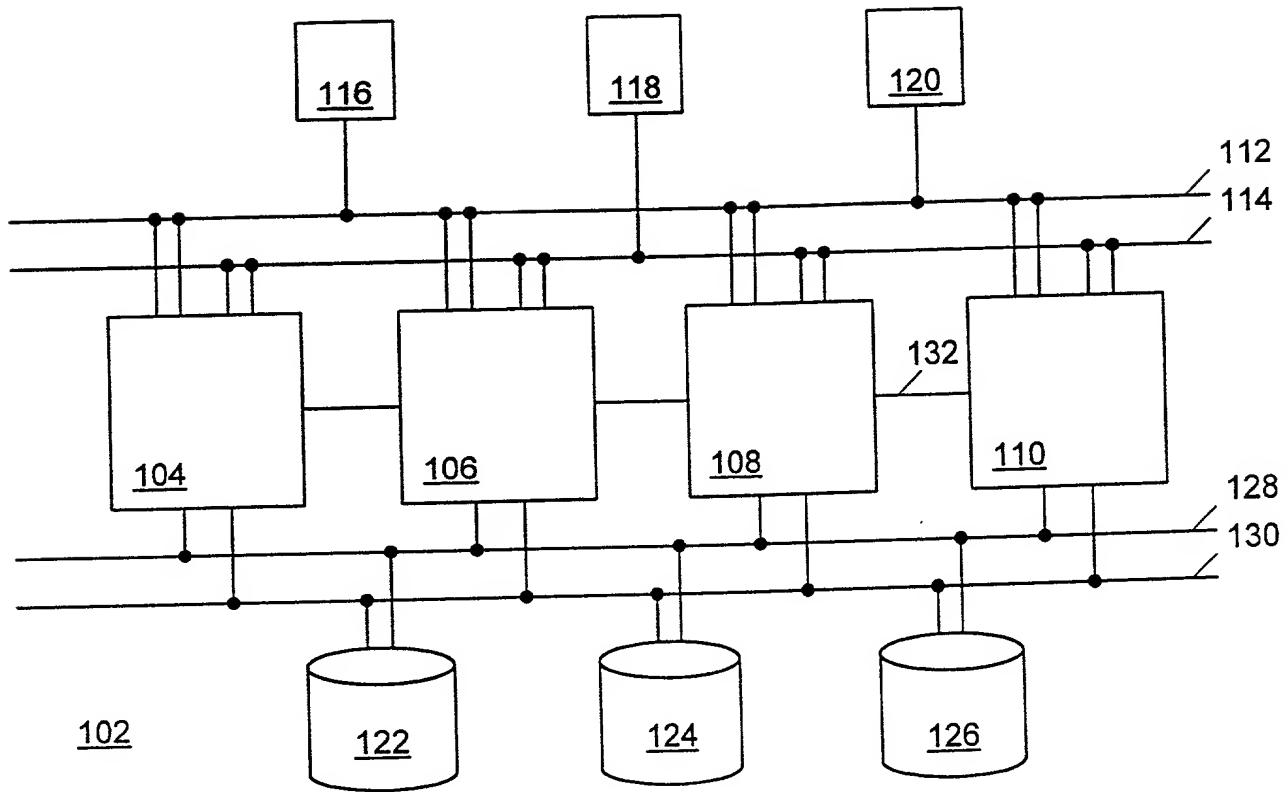


Figure 1

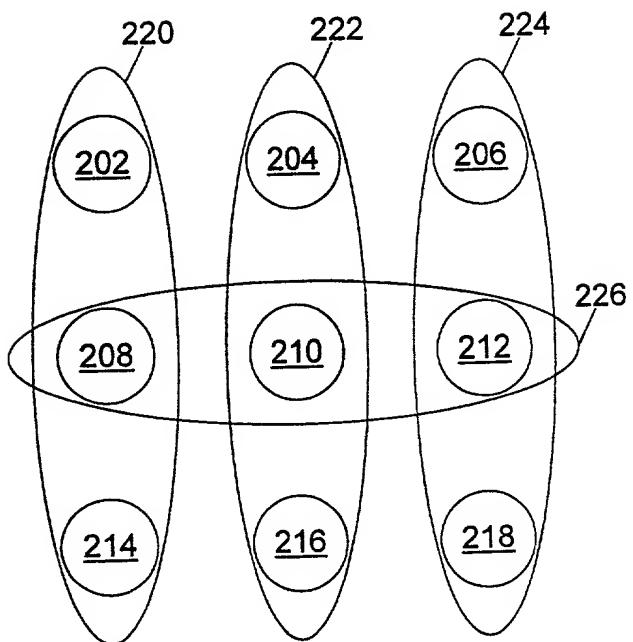


Figure 2A

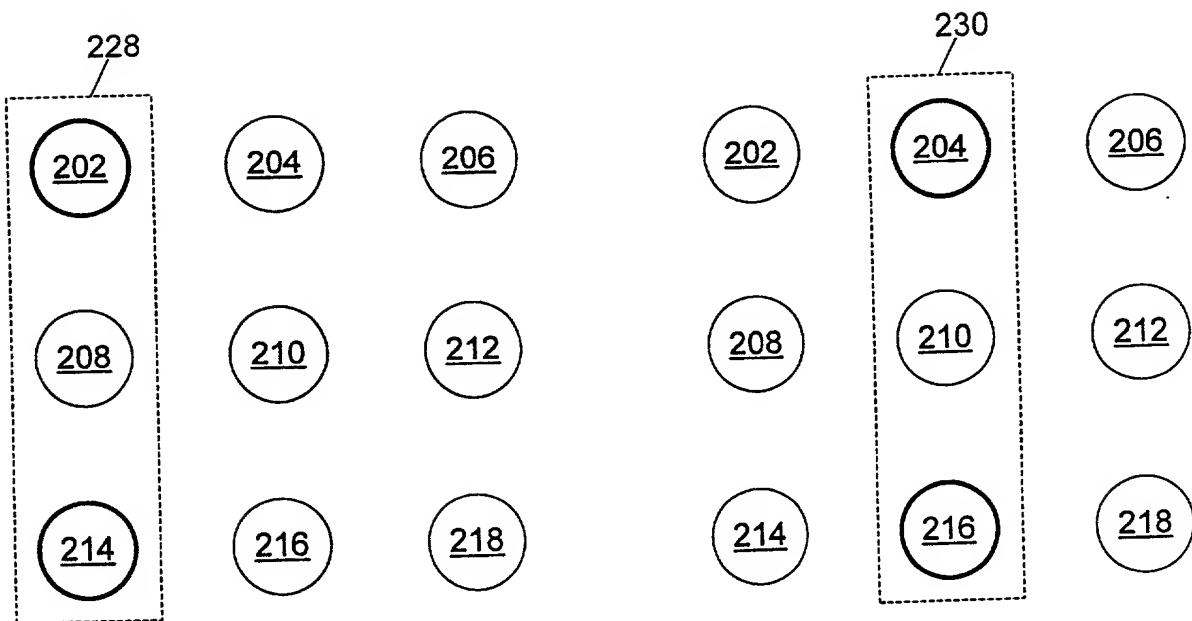


Figure 2B

Figure 2C

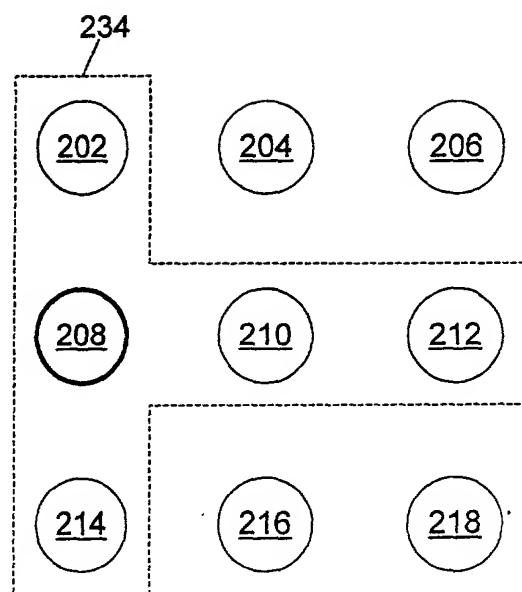
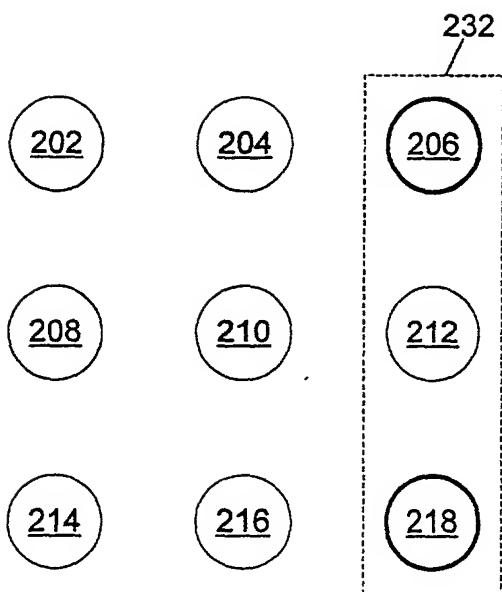


Figure 2D

Figure 2E

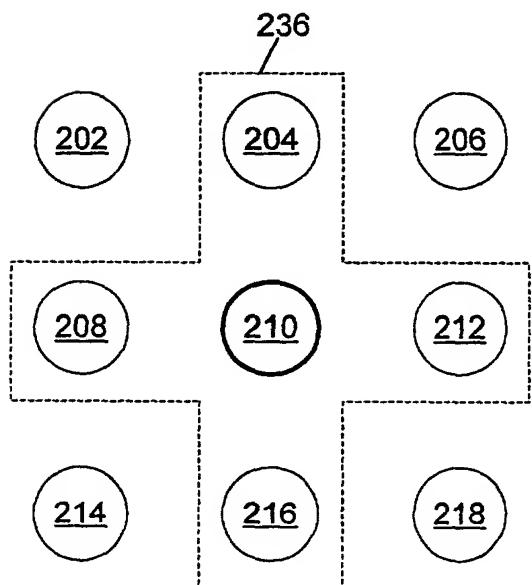


Figure 2F

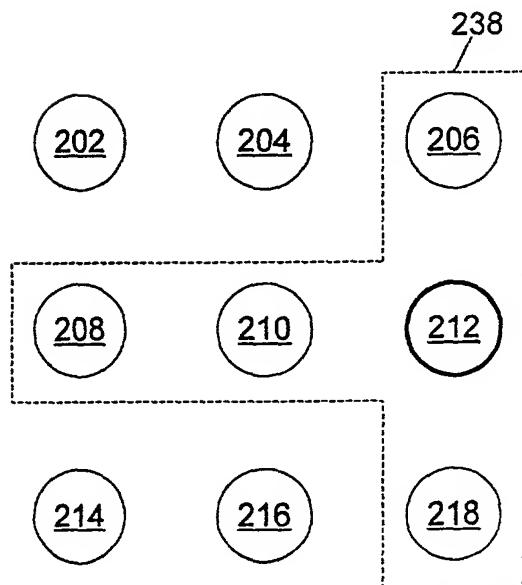


Figure 2G

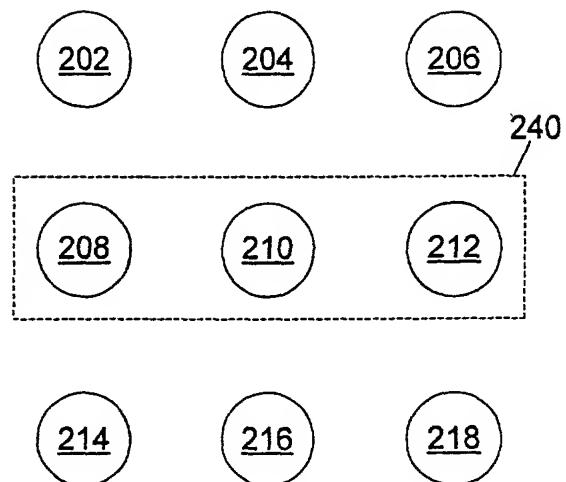


Figure 2H

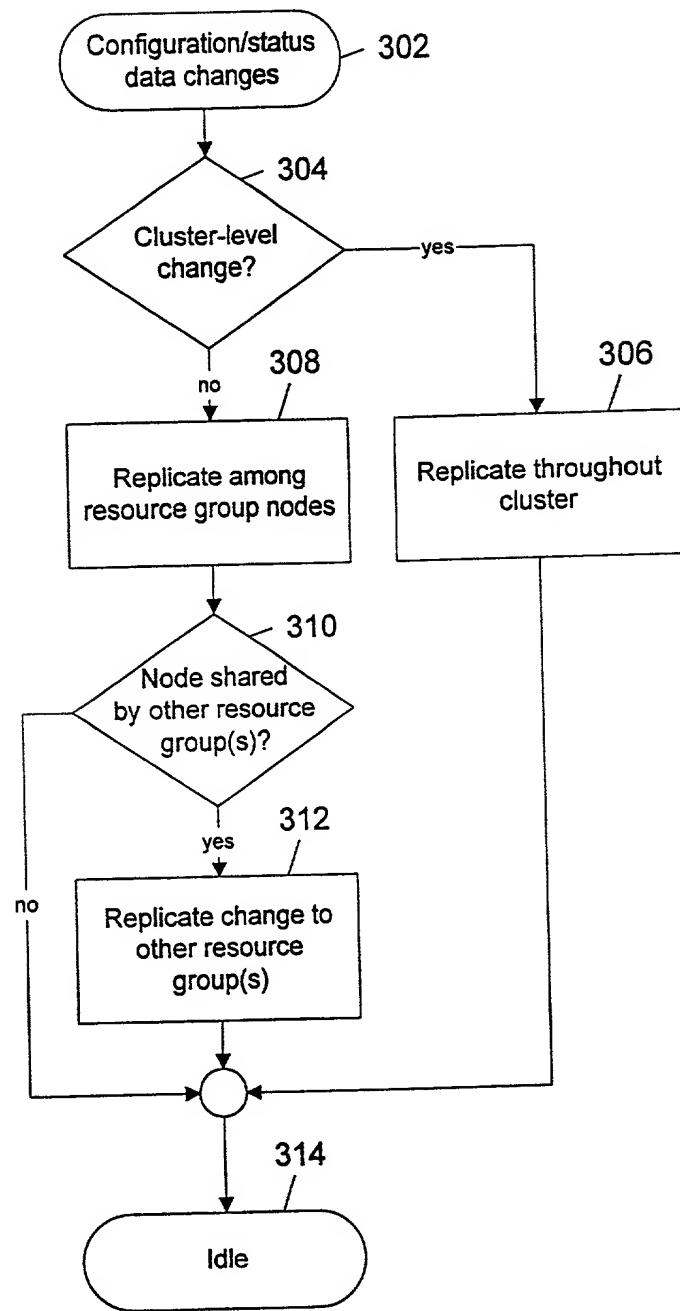


Figure 3

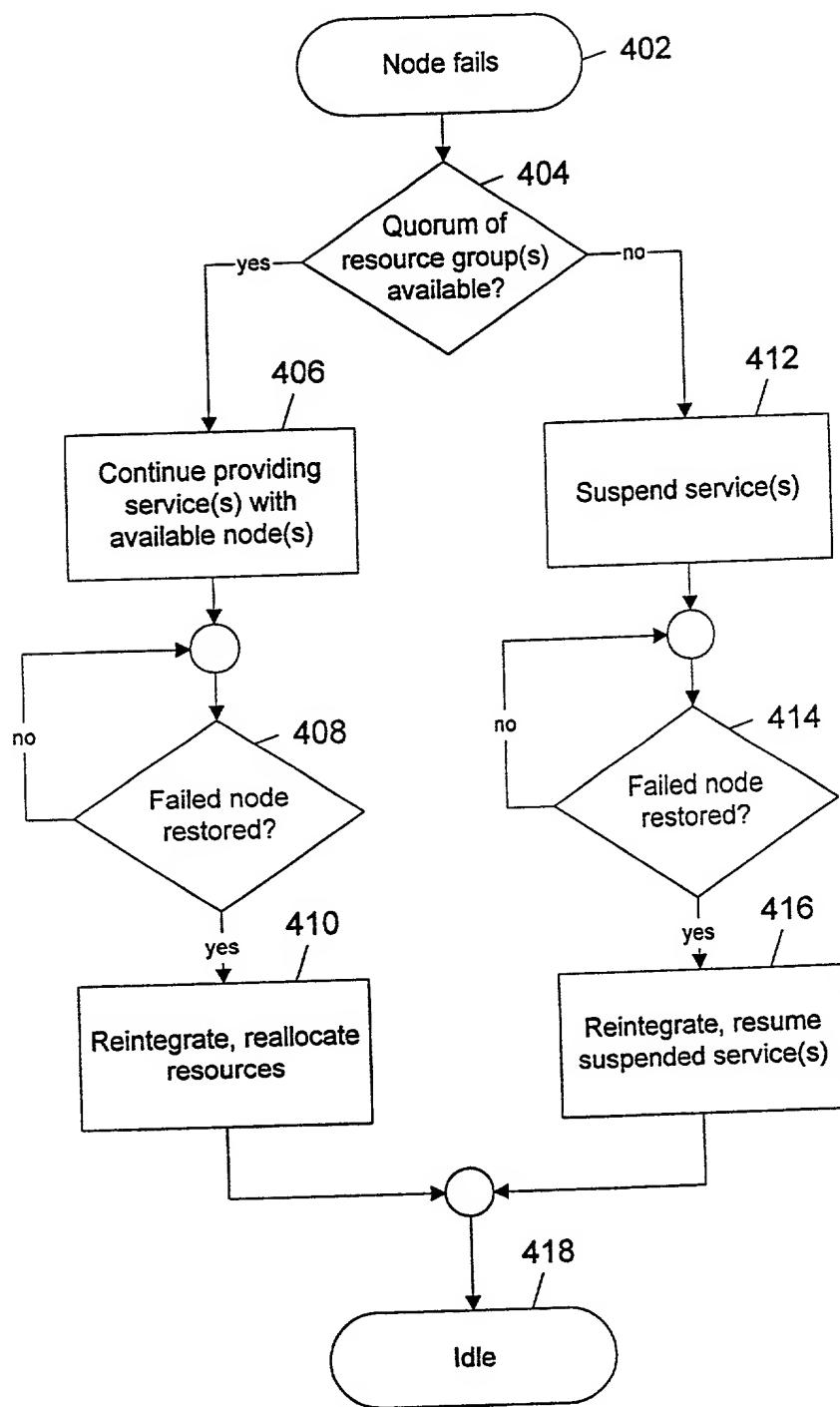


Figure 4